



Investment Option Exchange Form

Important information about this form:

- Fill out this form if you would like to move money currently in your ABLE account from one investment portfolio to another investment portfolio. This is referred to as an "investment exchange."
- You can only make two investment exchanges per calendar year. All changes you submit via this form will be counted as one investment exchange.
- This form does not change how future account contributions will be invested.
- When you transfer money FROM a portfolio, there is a \$5 minimum transfer amount. Unless you decide to transfer all the funds from a portfolio, the maximum amount you can transfer is 95% of the portfolio's balance.
- When you transfer money TO a portfolio, there is a \$1 contribution minimum to each portfolio you wish to add money to.
- Make sure you use black ink to type or print clearly in capital letters.

Need help?

Give us a call Monday – Friday
from 9am – 8pm ET at
1-855-529-ABLE (2253)

Individuals with speech or
hearing disabilities may dial
711 to access
Telecommunications Relay
Service (TRS) from a telephone
or TTY.

Mail the form to:

PA ABLE Savings Program
P.O. Box 534004
Pittsburgh, PA 15253-4004

Overnight Mail:

PA ABLE Savings Program
Attention: 534004
1350 Penn Avenue Suite 102
Pittsburgh, PA 15222

Fax:

855-851-7352

1 PA ABLE account information

Name of Beneficiary on the PA ABLE account (First and last)

Beneficiary's Social Security or Taxpayer Identification Number

PA ABLE account number



2 Investment exchange instructions

The changes you request here will apply only to the assets currently in your account. If you'd like to complete exchanges from more than 3 portfolios, please submit multiple copies of this page. All exchanges will be processed together. Please read the **PA ABLE Savings Program Disclosure Statement and Participation Agreement** for important information about the investment options before making a decision.

Exchange #1:

A Move funds FROM the following portfolio:

\$ _____

Portfolio name _____

Select one:

☐

Partial Amount
(If selecting "Partial," the minimum amount is \$5.00 and the maximum amount is 95% of your current balance).

☐

Liquidate this portfolio in full

B Move funds TO the following portfolio(s):

Risk-Based Portfolios

☐

Capital Preservation Portfolio

\$ _____
Amount

☐

Conservative Portfolio

\$ _____
Amount

☐

Moderately Conservative Portfolio

\$ _____
Amount

☐

Moderate Portfolio

\$ _____
Amount

☐

Growth Portfolio

\$ _____
Amount

☐

Moderately Aggressive Portfolio

\$ _____
Amount

☐

Aggressive Portfolio

\$ _____
Amount

Target Year Portfolios

☐

Target year 2030 \$ _____
Amount

☐

Target year 2055 \$ _____
Amount

☐

Target year 2035 \$ _____
Amount

☐

Target year 2060 \$ _____
Amount

☐

Target year 2040 \$ _____
Amount

☐

Target year 2065 \$ _____
Amount

☐

Target year 2045 \$ _____
Amount

☐

Target year 2070 \$ _____
Amount

☐

Target year 2050 \$ _____
Amount

\$ _____

Total amount

Exchange #2

A Move funds FROM the following portfolio:

Portfolio name _____

\$ _____ , _____ . _____

Select one:

☐

Partial Amount

(If selecting "Partial," the minimum amount is \$5.00 and the maximum amount is 95% of your current balance).

☐

Liquidate this portfolio in full

B Move funds TO the following portfolio(s):

Risk-Based Portfolios

☐

Capital Preservation Portfolio

\$

_____ , _____ . _____

Amount

☐

Conservative Portfolio

\$

_____ , _____ . _____

Amount

☐

Moderately Conservative Portfolio

\$

_____ , _____ . _____

Amount

☐

Moderate Portfolio

\$

_____ , _____ . _____

Amount

☐

Growth Portfolio

\$

_____ , _____ . _____

Amount

☐

Moderately Aggressive Portfolio

\$

_____ , _____ . _____

Amount

☐

Aggressive Portfolio

\$

_____ , _____ . _____

Amount

Target Year Portfolios

☐

Target year 2030

\$

_____ , _____ . _____

Amount

☐

Target year 2055

\$

_____ , _____ . _____

Amount

☐

Target year 2035

\$

_____ , _____ . _____

Amount

☐

Target year 2060

\$

_____ , _____ . _____

Amount

☐

Target year 2040

\$

_____ , _____ . _____

Amount

☐

Target year 2065

\$

_____ , _____ . _____

Amount

☐

Target year 2045

\$

_____ , _____ . _____

Amount

☐

Target year 2070

\$

_____ , _____ . _____

Amount

☐

Target year 2050

\$

_____ , _____ . _____

Amount

\$

_____ , _____ . _____

Total amount

Exchange #3

A Move funds FROM the following portfolio:

Portfolio name _____

\$ _____ , _____ . _____

Select one:

☐ Partial Amount
(If selecting "Partial," the minimum amount is \$5.00 and the maximum amount is 95% of your current balance).

☐ Liquidate this portfolio in full

B Move funds TO the following portfolio(s):

Risk-Based Portfolios

☐ Capital Preservation Portfolio

\$ _____ , _____ . _____
Amount

☐ Conservative Portfolio

\$ _____ , _____ . _____
Amount

☐ Moderately Conservative Portfolio

\$ _____ , _____ . _____
Amount

☐ Moderate Portfolio

\$ _____ , _____ . _____
Amount

☐ Growth Portfolio

\$ _____ , _____ . _____
Amount

☐ Moderately Aggressive Portfolio

\$ _____ , _____ . _____
Amount

☐ Aggressive Portfolio

\$ _____ , _____ . _____
Amount

Target Year Portfolios

☐ Target year 2030 \$ _____ , _____ . _____
Amount

☐ Target year 2055 \$ _____ , _____ . _____
Amount

☐ Target year 2035 \$ _____ , _____ . _____
Amount

☐ Target year 2060 \$ _____ , _____ . _____
Amount

☐ Target year 2040 \$ _____ , _____ . _____
Amount

☐ Target year 2065 \$ _____ , _____ . _____
Amount

☐ Target year 2045 \$ _____ , _____ . _____
Amount

☐ Target year 2070 \$ _____ , _____ . _____
Amount

☐ Target year 2050 \$ _____ , _____ . _____
Amount

\$ _____ , _____ . _____
Total amount



3 Sign the form

By signing below, I am agreeing to the terms and conditions set forth below and in the **PA ABLE Savings Program Disclosure Statement and Participation Agreement**. I understand and agree that those documents govern all aspects of this account and are incorporated herein by reference.

I certify that all of the information provided by me on this form is, and all information provided by me in the future will be, true, complete and correct and I authorize the program to exchange my investment options based upon this information.

I certify and understand the following:

- An investment exchange of funds among investment portfolios for my account can only be requested twice per calendar year.
- I authorize the investment exchange of assets in my account per my instructions on this form.
- I understand that this form will not change how future contributions will be invested, including payroll deductions, gift contributions, and other recurring contributions. Those contributions will continue to be invested according to the investment allocation I have on file for those contributions, unless I separately update those allocations.
- I understand that this investment exchange of funds will become effective upon the program's receipt of this form in good order.

Signature of Beneficiary or Authorized Individual

___ / ___ / ___
Date (mm/dd/yyyy)